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# A DFT evaluation of molecular reactivity of volatile organic compounds in support of chemical ionization mass spectrometry

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# A B S T R A C T

Gas-phase molecular properties of volatile organic compounds (VOCs) play an important role in the selection of gas-phase reagent ions for chemical ionization mass spectrometry (CI-MS). Chemical ionization-based mass spectrometry techniques such as proton transfer reaction mass spectrometry (PTR-MS) and selected ion flow-tube mass spectrometry (SIFT-MS) provide real-time, rapid, and online detection and quantification of VOCs using thermodynamics and kinetics of ion-molecule gas-phase reactions. We apply hybrid density functional theory (DFT) to compute proton affinity (PA), ionization energy (IE), and global reactivity parameters for VOCs, which are widely regarded as the primary sources of taints and off-flavors in wine. Atomic polar tensor (APT) charges and total energies at the stationary point for neutral and protonated molecules are also computed. PA and IE values determine the CI-MS mode of reactions, either proton transfer or electron transfer from the reagent gas ions to VOCs. Global reactivity parameters, such as chemical potential  $(\mu)$ , chemical hardness  $(\eta)$ , softness  $(\sigma)$ , and electrophilic nature ( $\omega$ ) as obtained from frontier molecular orbitals, are considered useful in rationalizing the chemical reactivity patterns of the molecules. A benchmark calculation of indole molecule with MP2, B<sub>3</sub>LYP, and M06-2X DFT methods at thermodynamically and kinetically stable protonation sites further supports the applied DFT method. Since limited data are available on computed parameters, the reported values would support CI-MS quantification of trace-level VOCs not only in wine but also in various food products.

### **1. Introduction**

The perception of aroma and flavor in wine is a complex interplay between numerous chemical compounds and sensory receptors [[1–](#page-8-0)[3](#page-8-1)]. These aromas and flavor compounds, commonly ascribed as volatile organic compounds (VOCs), contribute to wine's unique texture and bouquet if present near the sensory threshold limits, however, excessive amounts can detract from the quality and are considered as a fault in wine [\[4,](#page-8-2)[5](#page-8-3)]. VOCs produce 'musty', 'moldy', 'wet floor', 'vinegar', and 'rotten egg' like off-flavors that generally appear during production and processing of wine. Among other common sources, the cork stopper of the wine bottle contributes to so-called 'cork-taint' in wine, causing significant losses to wineries [\[6–](#page-8-4)[9](#page-8-5)]. The identification of VOCs carrying taints and off-flavors and their accurate quantification in wine is critical in the assessment of the quality of the wine being produced. Due to their highly volatile nature and extremely low concentration, typically in the part-per-trillion by volume (pptv) range, pose an analytic challenge in their identification and quantification [[10–](#page-8-6)[12\]](#page-8-7).

Chemical ionization-based direct-injection mass spectrometry (DIMS) techniques, such as proton transfer reaction mass spectrometry (PTR-MS) [[13\]](#page-8-8) and selected ion flow-tube mass spectrometry (SIFT-MS) [[14\]](#page-8-9) can reach the required accuracy and precision, as well as high throughput with a detection limit in the low pptv level is indispensable in the detection of taste and flavor [[15,](#page-8-10)[16\]](#page-8-11). These ionization methods are generally based on the ionization of the neutral VOCs via commonly used reagent ions, such as  $H_3O^+$ ,  $NH_4^+$ ,  $NO^+$  and  $O_2^+$  ions. Furthermore, the ionization mechanism through different reagent ions and ion-molecule reaction kinetics relies on the chemical and physical properties of neutral VOCs [[17\]](#page-8-12).

Gas-phase molecular properties, such as proton affinity (PA), ionization energy (IE), and global reactivity parameters of the neutral VOCs are of utmost importance in the selection of appropriate reactant gas (ions) to be utilized in chemical ionization mass spectrometry (CI-MS) [[17](#page-8-12)[,18](#page-8-13)]. PA of a neutral molecule determines whether a reaction proceeds by proton transfer, typically with  $\rm H_3O^+$  or  $\rm NH_4^+$  , fragmentation or adduct formation, as occurs in CI-MS. The  $H_3O^+$  ion is key to proton transfer reactions in the PTR-MS because of its high abundance in combination with the low PA [[19\]](#page-8-14). An effective exothermic proton transfer occurs in such analyte molecules that possess higher PA than H<sub>2</sub>O i.e. 696.64 kj/mol. If a reactant gas with lower PA than H<sub>2</sub>O is selected, the proton transfer is followed by fragmentation, whose extent depends on the size of the PA difference between an analyte and the  $H<sub>2</sub>O$  molecule. On the other hand, reactant gases with very high PA than  $H_2O$  often lead to adduct formation. Moreover,  $NH_4^+$ -CI-MS ionization is useful for effective proton transfer reactions wherein the PA of a molecule is higher than  $H_2O$ , typically by 96.2 kj/mol [[20](#page-8-15)[,21](#page-8-16)]. Apart from the standard reagent ions such as  ${\rm H_3O^+}$  and  ${\rm NH_4^+}$ 

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<span id="page-1-1"></span>Evaluation of MP2, B3LYP, and M06-2X proton affinity (PA) values at N1 and C4 protonation sites; in kj/mol, [a](#page-1-0)nd ionization energy (IE); in eV, of Indole<sup>a</sup> molecule against different basis sets.

Basis set	MP2		B <sub>3</sub> LYP		$M06-2X$	
	PA	IE.	PA	IE.	PA	IΕ
$6-31+G(d, p)$	825.63 (N1)	8.60	834.67 (N1)	7.66	823.33 (N1)	7.96
	923.49 (C4)		897.43 (C4)		879.73 (C4)	
$6-311+G(d, p)$	818.98 (N1)	8.75	832.66 (N1)	7.72	821.70 (N1)	8.02
	924.37 (C4)		889.69 (C4)		876.59 (C4)	
$6-311+G(3df, 2p)$	811.70 (N1)	8.89	831.49 (N1)	7.72	820.98 (N1)	8.03
	923.91 (C4)		892.95(C4)		876.00 (C4)	
Aug-cc-PVDZ	815.80 (N1)	8.85	831.28 (N1)	7.69	822.20 (N1)	7.96
	924.54 (C4)		893.45 (C4)		878.26 (C4)	
Aug-cc-PVTZ	815.50 (N1)	8.93	833.95 (N1)	7.72	822.24 (N1)	8.02
	923.95 (C4)		894.08 (C4)		877.93 (C4)	

<span id="page-1-0"></span><sup>a</sup>Experimental values of PA and IE for indole are 903.70 kj/mol and 7.76 eV, respectively [\[27\]](#page-8-17). Protonation at C4 site with  $B_3LYP/6-31+G(d, p)$  is in excellent agreement with the experimental and theoretical results [\[28](#page-8-18)].

water cluster ions  $(H_3O^+(H_2O)_n)$  are also exploited as a protonating agent in ligand–switching reactions with unsaturated and saturated aldehydes [[22\]](#page-8-19).

The PA of a molecule in the gas phase can be determined either by relative methods, kinetic or thermo kinetic, a gas-phase equilibrium constant based upon some absolute standards that are accessible over ionization threshold measurements or theoretical calculations [[23,](#page-8-20)[24](#page-8-21)]. Commonly used experimental techniques are mass spectrometry and ion-mobility spectrometry [\[25](#page-8-22),[26\]](#page-8-23). However, experimental methods require very complex instrumentation; thereby, the determination of experimental PAs is not often straightforward. Usually, theoretical calculations of PA and possible adduct complexes lead to absolute values.

Similarly, IE plays a crucial role in the selection of appropriate reagent ions to be used in the electron transfer reactions or adduct formation from NO<sup>+</sup> and  $O_2^+$  reagent ions to the analyte molecule. If the IE of the analyte is less than the IE of NO (9.2 eV) and  $O_2$  (12.2 eV) molecules, respectively, then electron transfer is favored in CI-MS from their respective ions. Similarly, if the IE of the analyte is comparable to NO, then adduct ions are formed. In a nutshell, the PA and IE of the molecules determine the likelihood of a reaction followed by proton transfer or electron transfer from an appropriate reagent ion in the flow (drift) tubes.

The chemical reactivity of a molecular system which in turn is obtained from the global reactivity parameters is attributed to the HOMO and LUMO energy gap, as given by the Koopmann theorem [[29\]](#page-8-24). These quantities, for example, chemical potential  $(\mu)$ , chemical hardness  $(\eta)$ , softness ( $\omega$ ), and electrophilic index ( $\sigma$ ) are directly related to the properties and reactivity of the molecules [[30,](#page-8-25)[31](#page-8-26)]. These reactivity descriptors provide a conceptual understanding of the relationship between structure, and stability, and are very effective in rationalizing the reactivity patterns of the molecular systems [[30](#page-8-25)[,32](#page-8-27)]. Recently, there has been much interest in the theoretical evaluation of PAs and IEs of different classes of compounds [[33](#page-8-28),[34\]](#page-8-29). Swift et al. evaluated the PAs of important biogenic organic compounds and monoterpenes using the  $B_3$ LYP and aug-cc-pVTZ level of theory [\[21](#page-8-16)]. Many authors have used density functional theory (DFT) calculations to compute PA and IE values, as reported [[35](#page-8-30)[,36](#page-8-31)]. We report PAs and IEs of industrially important VOCs relevant to wine which includes alcohols, esters, phenols, chloro and bromo-anisoles, and aldehydes [[3,](#page-8-1)[37\]](#page-8-32). Presently, not much data on the PAs and IEs of the investigated compounds, either experimental or theoretical, are available. We provide a large database of the chemical properties of 45 VOCs useful in CI-MS quantification of undesirable trace gases in wine.

To find the suitability of the selected method, a benchmark study is conducted with popular DFT functional, such as  $B_3LYP$ , and M06-2X (Minnesota 06) and ab initio electron correlation method like MP2 (Moller–Plesset with second-order energy correction). Only the indole



<span id="page-1-2"></span>**Fig. 1.** The indole molecule at kinetically preferred protonation site C4, with higher PA of 897.43 kj/mol. The indole molecule is optimized and evaluated with  $B_3LYP/6-31+G(d, p)$  theory.

molecule is evaluated, as none of the molecules have both PA and IE values reported in order to make comparisons.  $B_3LYP$  has been a gold standard DFT method, especially in the study of organic molecules, and is known to produce accurate ground state geometries and molecular properties at a reasonable computational cost. All initial structures were obtained from standard databases, such as PubChem and NIST [[38,](#page-8-33)[39](#page-8-34)]. These structures are then geometrically relaxed to obtain the equilibrium geometry of the adopted DFT model. The minimized geometries were verified by calculating the vibrational energies to confirm there were no imaginary frequencies.

#### **2. Computational method**

State-of-the-art DFT calculations are carried out using the Gaussian '16' suite of software [\[40](#page-8-35)]. The DFT method in conjunction with large and appropriate basis sets, which include polarization and diffuse

<span id="page-2-1"></span>Computed values of proton affinity (PA), vertical ionization energy and adiabatic ionization energy (in brackets) with  $B_3LYP/6-31+G(d, p)$  level of theory of VOCs responsible for taints and off-flavors in wine and food.

Molecule name	CAS number	PA	VIE (AIE)
		kj/mol	eV
2,4,6-Trichloroanisole $(C_7H_5Cl_3O)$	87-40-1	767.81	8.87 [8.28]
2,4,6-Tribromoanisole $(C_7H_5Br_3O)$	607-99-8	778.94	8.67 [8.19]
Pentachlorophenol $(C_6Cl_5OH)$	87-86-5	699.36	8.76 [8.56]
Pentabromophenol $(C_6Br_5OH)$	608-71-9	728.73	8.75 [8.60]
2,4,6-Trichlorophenol (C <sub>6</sub> H <sub>2</sub> Cl <sub>3</sub> OH)	88-06-2	729.48	9.03 [8.79]
2,4,6-Tribromophenol $(C_6H_2Br_3OH)$	118-79-6	749.10	8.81 [8.62]
2,3,4-Trichloroanisole $(C_7H_5Cl_3O)$	54135-80-7	758.64	8.36 [8.15]
2,3,6-Trichloroanisole $(C_7H_5Cl_3O)$	50375-10-5	769.31	8.83 [8.37]
2,3,4,5-Tetrachloroanisole $(C_7H_4Cl_4O)$	938-86-3	746.43	8.44 [8.23]
2,3,4,6-Tetrachloroanisole $(C_7H_4Cl_4O)$	938-22-7	761.32	8.85 [8.35]
2,3,5,6-Tetrachloroanisole $(C_7H_4Cl_4O)$	6936-40-9	759.35	8.85 [8.67]
2,4-Dichloroanisole $(C_7H_6Cl_2O)$	553-82-2	764.71	8.26 [8.04]
2,6-Dichloroanisole $(C_7H_6Cl_2O)$	1984-65-2	781.11	8.96 [8.30]
Cis-1,5-octadien-3-one $(C_8H_{12}O)$	65767-22-8	883.37	8.70 [8.39]
Cis-1,5-octadien-3-ol $(C_8H_{14}O)$	50306-18-8	840.44	8.72 [8.28]
1-Octene-3-ol $(C_8H_{16}O)$	3391-86-4	827.09	9.37 [8.92]
1-Octene-3-one $(C_8H_{14}O)$	4312-99-6	853.29	9.23 [9.01]
Octanal $(C_8H_{16}O)$	124-13-0	806.76	9.38 [9.18]
2-Sec-butyl-3-methoxypyrazine $(C_9H_{14}N_2O)$	24168-70-5	903.07	8.53 [8.30]
3-Iso-butyl-2-methoxypyrazine (C <sub>9</sub> H <sub>14</sub> N <sub>2</sub> O)	24683-00-9	902.78	8.51 [8.28]
2-Iso-propyl-3-methoxypyrazine (C <sub>8</sub> H <sub>12</sub> N <sub>2</sub> O)	25773-40-4	901.19	8.56 [8.33]
2-Methoxy-3,5-dimethylpyrazine $(C_9H_{10}N_2O)$	92508-08-2	908.60	8.32 [8.11]
2-Methylisoborneol $(C_{11}H_{20}O)$	2371-42-8	911.23	9.21 [8.28]
Geosmin $(C_{12}H_{22}O)$	19700-21-1	857.93	8.86 [8.35]
Guaiacol $(C_7H_8O_2)$	$90 - 05 - 1$	801.24	7.91 [7.66]
4-Ethylguaiacol $(C_9H_{12}O_2)$	2785-89-9	829.60	7.55 [7.29]
4-Ethylphenol $(C_8H_{10}O)$	123-07-9	762.83	8.07 [7.86]
Eucalyptol $(C_8H_{10}O)$	470-82-6	892.20	8.46 [8.28]
4-Ethylcatechol $(C_8H_{10}O_2)$	1124-39-6	829.60	7.85 [7.61]
4-Methylguaiacol $(C_8H_{10}O_2)$	93-51-6	816.63	7.58 [7.32]
Rotundone $(C_{15}H_{22}O)$	18374-76-0	919.22	8.36 [8.18]
Geraniol $(C_{10}H_{18}O)$	106-24-1	888.56	8.10 [7.77]
Hotrienol $(C_{10}H_{16}O)$	53834-70-1	870.48	7.99 [7.73]
Linalool $(C_{10}H_{18}O)$	78-70-6	896.51	8.38 [8.03]
Nerol $(C_{10}H_{18}O)$	106-25-2	878.10	8.37 [7.91]
$\alpha$ -Terpineol (C <sub>10</sub> H <sub>18</sub> O)	98-55-5	839.14	8.23 [7.95]
Indole $(C_8H_7N)$	120-72-9	897.43	7.66 [7.51]
1-Methylindole $(C_9H_9N)$	603-76-9	852.03	7.44 [7.30]
2-Aminoacetophenone $(C_8H_9NO)$	551-93-9	897.76	7.74 [7.61]
2-Chloro-6-methylphenol $(C_7H_7ClO)$	87-64-9	742.53	8.41 [8.20]
3-Octanone $(C_8H_{16}O)$	106-68-3	850.90	9.12 [8.92]
Fenchone $(C_{10}H_{16}O)$	1195-79-5	866.55	8.55 [8.33]
Fenchol $(C_{10}H_{18}O)$	1632-73-1	830.44	9.15 [8.31]
Trans-2-octen-1-ol $(C_8H_{16}O)$	18409-17-1	849.69	8.94 [8.53]
Pentachloroanisole $(C_7H_3Cl_5O)$	1825-21-4	754.58	8.95 [8.44]

functions, can produce reliable thermodynamic properties for molecular systems, including hydrogen bonding. The molecular geometry optimization is performed with  $B_3LYP$  [\[41](#page-9-0)] hybrid functional as a DFT method using 6-31+G(d, p) basis set comprising polarization and diffuse functions for heavy atoms. Polarization and diffuse functions greatly influence the reactivity parameters. PA of the VOCs is computed on fully optimized structures (neutral and protonated) in the gas phase as given below:

$$
XH^{+}(g) + R(g) \longrightarrow RH^{+}(g) + X(g)
$$
\n(1)

is expressed as

$$
PA = -\Delta E_{ele} - \Delta ZPE + \frac{5}{2}RT
$$
 (2)

Where  $\Delta E_{ele}$  is the change in electronic energy to the protonated and neutral molecule. And,  $\Delta ZPE$  stands for the change in zero-point energy of normal mode in the protonated and neutral molecule. The last term in Eq. [\(2\)](#page-2-0) represents the contribution from the translational energy of the proton.  $\Delta E_{rot}$  contribution is zero since the proton does not have rotational kinetic energy. Similarly,  $\Delta E_{\rm vib}$  was neglected as compared to

ZPE (usually less than 1 kcal/mol or 4.2 kj/mol at room temperatures, i.e. less than experimental error).

Similarly, vertical/adiabatic ionization energies (VIEs/AIEs) of the VOCs are computed as the energy difference between an ionic and neutral state of the molecule. In VIEs, the energy of the optimized neutral structure is subtracted from the energy of the cation (or anion) at the optimized geometry of the neutral while in AIEs, energies of the optimized neutral structure are subtracted from the optimized cation (or anion) structure. In general, the IE of an N-electron atom is given as:

<span id="page-2-2"></span>
$$
IE = E_0(N - 1) - E_0(N)
$$
 (3)

<span id="page-2-0"></span>In addition, the atomic polar tensor (APT) [\[32](#page-8-27)] charge analysis is used to determine equivalent charges on the individual atoms from free bases and their protonated counterparts. The APT charges show modest basis set dependence and are sensitive to the correlation effects in the wave function, unlike Mulliken charge analysis which is basis set dependent, with the increased basis size, the actual charges may diverge significantly. The  $\epsilon_{\text{HOMO-LUMO}}$  energy gap together with the global reactivity parameters are computed using a similar level of DFT

<span id="page-3-1"></span>Net charge (au) on the atoms before and after protonation (in square brackets) is represented for selected molecules, along with their corresponding total energies (au). All the computations are performed with  $B_3$ LYP/6-31+G(d, p) DFT method.

Molecule name	Net atomic charge	Total energy
2,4,6-Trichloroanisole	$O-H^+$ [-0.7929, -0.5878]	$-1725.8685$
$(C_7H_5Cl_3O)$	$Cl(2^a) - H^+$ [-0.2964, 0.0701]	$-1725.8161$
2,4,6-Tribromoanisole	$O-H^+$ [-0.7859, -0.6063]	$-8060.4741$
$(C_7H_5Br_3O)$	$Br(2)$ -H <sup>+</sup> [-0.2101, -0.1283]	$-8060.4314$
Pentabromophenol	$O-H^+$ [-0.6943, -0.6846]	$-13163.3932$
$(C_6Br_5OH)$	$Br(2)$ -H <sup>+</sup> [-0.1647, 0.4083]	$-13163.3541$
2,3,4-Trichloroanisole	$O-H^+$ [-0.8974, -0.8248]	$-1725.8617$
$(C_7H_5Cl_3O)$	$Cl(1) - H^+$ [-0.2408, -0.0479]	$-1725.8282$
2-Sec-butyl-3-methoxypyrazine	$N-H^{+}$ [-0.3568, -0.1456]	$-536.5060$
$(C_9H_{14}N_2O)$	$CH_3O-H^+$ [-0.8789, -0.7715]	$-536.4563$
3-Iso-butyl-2-methoxypyrazine	$N-H^+$ [-0.3515, -0.1428]	$-536.5046$
$(C_9H_{14}N_2O)$	$CH_3O-H^+$ [-0.8736, -0.7837]	$-536.4538$
2-Iso-propyl-3-methoxypyrazine	$N-H^{+}$ [-0.3606, -0.1505]	$-497.1885$
$(C_8H_1, N_2O)$	$CH3O-H+$ [-0.8878, -0.7556]	$-497.1360$
2-Methoxy-3,5-dimethylpyrazine	$N-H^+$ [-0.3462, -0.1293]	$-457.8814$
$(C_9H_{10}N_2O)$	$O-H^+$ [-0.9178, -0.6658]	$-457.8298$
Guaiacol	$CH_3O-H^+$ [-0.8829, -0.6341]	$-422.3394$
$(C_7H_8O_2)$	$HO-H^{+}$ [-0.7178, -0.5607]	$-422.3297$
4-Ethylguaiacol	$CH_3O-H^+$ [-0.8697, -0.6441]	$-500.9803$
$(C_9H_{12}O_2)$	$HO-H^{+}$ [-0.7108, -0.4470]	$-500.9702$
4-Methylguaiacol	$CH3O-H+$ [-0.8704, -0.6391]	$-461.6627$
$(C_8H_{10}O_2)$	$HO-H^{+}$ [-0.7001, -0.5711]	$-461.6536$
2-Aminoacetophenone	$O-H^{+}$ [-0.7814, -0.7688]	$-440.6478$
$(C_8H_9NO)$	$H_2N-H^+$ [-0.7450, -0.2077]	$-440.6437$
Pentachloroanisole	$CH_3O-H^+$ [-0.8200, -0.6120]	$-2645.0284$
$(C_7H_3Cl_5O)$	$Cl(2) - H^+$ [0.2335, -0.0293]	$-2644.9842$

<span id="page-3-0"></span><sup>a</sup>Position of the atom in a molecule.

method as above. The choice of the  $B_3LYP/6-31+G(d, p)$  theory is consistent with the computational cost and required accuracy with the available experimental results.

We also computed PAs and IEs with MP2,  $B<sub>2</sub>LYP$ , and M06-2X methods for higher basis sets, such as  $6-311+G(d, p)$ ,  $6-311+G(3df, p)$ 2p), aug-cc-PVDZ, and aug-cc-PVTZ. The basis set 6-31+G(d, p) exhibits optimal experimental accuracy as compared with the available PA and IE of indole molecule and is expected to perform better for the rest of the compounds occurring in the study.

#### **3. Results and discussion**

We open the discussion with [Table](#page-1-1) [1](#page-1-1) where PA and IE values are computed and listed for MP2,  $B<sub>3</sub>LYP$ , and M06-2X methods at several higher-level basis sets for indole molecule. MP2 provides slightly higher PAs, while M06-2X provides lower PAs than the reported ex-perimental values for C4 attachment, see [Fig.](#page-1-2) [1.](#page-1-2)  $B_3LYP/6-31+G(d, p)$ method appears to be in close agreement with the experimental PA and economical in terms of computational cost.

Indole molecule, in general, contains seven preferred PA sites, out of which protonation at the C4 site appears to have higher PA, which is in accordance with the experimental value of 903.70 kj/mol. It is worth mentioning that the protonation to the N1 site provides far lesser PA than the experimental value. In this work, the reported PA 834.67 kj/mol at the N1 site coincides with theoretically computed PA 822.99 kj/mol using  $B_3LYP/6-31+G(d)$  level of theory [\[42](#page-9-1)].

Our computed PA at the C4 site appears to be (897.43 kj/mol) in excellent agreement with experimentally and theoretically obtained PA values [[28,](#page-8-18)[42\]](#page-9-1).

Aug-cc-PVTZ basis set gives marginally better IE values, but lower PA than with 6-31+G(d, p) basis set, yet computationally expensive. The results obtained from 6-311+G(d, p), 6-311+G(3df, 2p), aug-cc-PVDZ, and aug-cc-PVTZ basis sets are almost identical, see [Table](#page-1-1) [1.](#page-1-1) On the contrary, the MP2 method known to provide better electron correlation effects yields higher PAs and IEs than the experimental value. Similarly, M06-2X hybrid functional, which comprises 54% Hartree

Fock (HF) exchange, follow MP2 IEs while PAs deviates from experimental results by a noticeable margin.  $B_3LYP/6-31+G(d, p)$  has shown excellent results at a reasonable computational cost and subsequently used for all quantum chemical evaluations of reactivity parameters. Unfortunately, PA and IE data for other molecules were not available to make a fair comparison. Our computed results are thoroughly discussed below.

#### *3.1. Proton affinity*

The PA values are obtained by using  $B_3LYP/6-31+G(d, p)$  DFT method from Eq. [\(2\)](#page-2-0) and are reported in [Table](#page-2-1) [2.](#page-2-1) The PA of the neutral molecule determines the preference of the reagent ion for CI-MS. A commonly used CI-MS technique, such as PTR-MS, typically employs  ${\rm H_3O^+}$  and  ${\rm NH_4^+}$  ions for the accurate quantification of trace gases. The proton transfer is exothermic and feasible when the PA of the analyte molecule is greater than the PA of the  $H_2O$  molecule. Likewise, protonation with  $\mathrm{NH}_4^+$  ions offers a great advantage, where  $\mathrm{H}_3\mathrm{O}^+$  ions result in a high degree of fragmentation of certain functional groups, particularly alcohols, peroxides, esters, and other highly oxidized molecules. Proton transfer with  $NH_4^+$  is generally more specific and occurs for molecules that possess higher PAs than  $NH_3$ , i.e, 855.63 kj/mol [[19\]](#page-8-14).

In general, PA corresponds to the electronic redistribution and measures the stabilization experienced by the molecule after proton attachment. There will be a rearrangement of the positions of the nuclei and electron density post-protonation. Notice that for a given molecule, the greater the negative charge on the atom, the more likely the proton attachment occurs. Our investigated molecules contain nitrogen, oxygen, chlorine, and bromine atoms with varying electronegativity values, which offer multiple active sites for protonation. The total energy of the selected molecules in neutral and protonated forms is computed and reported in [Table](#page-3-1) [3](#page-3-1). A full list of molecules with total energy, bond lengths, and APT charges is available in the supporting material.

We discuss fewer such molecules that contain more than one site for proton attachment. 2-sec-butyl-3-methoxypyrazine molecule shown



<span id="page-4-0"></span>

in [Fig.](#page-5-0) [2](#page-5-0) offers oxygen and nitrogen as two potential protonation sites. [Fig.](#page-5-0) [2](#page-5-0) also represents a neutral molecule, [Fig.](#page-5-1) [2\(a\)](#page-5-1); protonated oxygen site, [Fig.](#page-5-2) [2\(b\);](#page-5-2) and protonated nitrogen site, [Fig.](#page-5-2) [2\(c\).](#page-5-2) We compute PA at both sites, corresponding to oxygen and nitrogen. PA using  $B<sub>3</sub> LYP/6-31+G(d, p)$  theory at the nitrogen site is apparently higher (903.07 kj/mol) as compared to the oxygen (776.34 kj/mol). Thereby, nitrogen on the pyrazine as shown in [Fig.](#page-5-0) [2](#page-5-0) is the preferred site for protonation. The high PA of a molecule indicates its higher propensity for a proton attachment.

Similarly, [Fig.](#page-6-0) [3](#page-6-0) represents the preferred site for protonation among two oxygen atoms where one of the oxygen sites has higher PA (site [3\(c\)](#page-6-1) with PA 801.24 kj/mol). It is worth noting that, PA values are strongly affected by the different substituent groups ( $\text{-CH}_3$ ,  $\text{-OCH}_3$ ,  $-OC<sub>2</sub>H<sub>5</sub>$ , and  $-NH<sub>2</sub>$ ) attached to the carbonyl carbon. As seen in certain cases, for example, in [Fig.](#page-6-2) [4,](#page-6-2) the water molecule gets separated from the carbonyl carbon atom of the octadien molecule when oxygen accepts a proton. In these circumstances, accommodation of a positive charge is much easier for the carbonyl carbon, as it is attached to the electron donor ethylene group in octadien molecule. Carbonyl carbon accommodates an additional positive charge (0.5416 au [neutral] to 0.7577 au [protonated]) consequently, C–O bond length increases from 1.43 Å

to 1.62 Å and as a result,  $H_2O$  gets separated from the carbonyl carbon. Therefore, PA decreases when oxygen accepts a proton; it is so because bond breakage is an endothermic process. Due to this effect, lower PA values have been observed in some phenols, such as chloro and bromo phenols; and 2-chloro-6-methylphenol in comparison to other compounds. Nitrogen-containing molecules have the highest PA than other molecules. Furthermore, it must be noted that higher alcohols typically undergo loss of water molecule after protonation and produce  $M^+$ –H<sub>2</sub>O fragments in PTR-MS [[12,](#page-8-7)[43\]](#page-9-2).

In general, all the molecules under investigation can be protonated via nitrogen, oxygen, chlorine, and bromine active sites as the case might be. However, protonation to other sites makes the structure energetically unstable. For example, pentachloroanisole, 2,4,6 trichloroanisole, and 2,6-dichloroanisole do not show protonation to chlorine sites. Similarly, 2,4,6-tribromoanisole and pentabromophenol were unstable to proton attachment at bromine sites (see [Table](#page-3-1) [3](#page-3-1) for total energy values). While oxygen is the most favorable protonation site in all the above cases. From the reported PAs, rotundone (919.22 kj/mol) was found to have the highest PA, while pentachlorophenol (699.36 kj/mol) had the lowest PA. This means  $H_3O^+$ can ionize pentachlorophenol and  $NH<sub>4</sub><sup>+</sup>$  is the best fit for rotundone's ionization through effective proton transfer reactions.

<span id="page-5-1"></span>![](_page_5_Figure_2.jpeg)

<span id="page-5-0"></span>**Fig. 2.** Optimized structures using B3LYP/6-31+G(d, p) DFT method of [2\(a\)](#page-5-1) neutral, [2\(b\)](#page-5-2) O-site protonated with PA 776.34 kj/mol, [2\(c\)](#page-5-2) N-site protonated, with PA 903.07 kj/mol, 2-sec-butyl-3-methoxypyrazine molecule.

Earlier reported data for PA [[44\]](#page-9-3) suggest that the oxygen-containing compounds found to have PA in the 753.10–857.70 kj/mol range, and the nitrogen-containing compounds in the 857.70–1004 kj/mol range. Our computed values with  $B_3LYP/6-31+G(d, p)$  theory show excellent agreement to the above range, however inclusive data (experimental/theoretical) for the studied compounds will be imperative in the formal comparison.

#### *3.2. Ionization energy*

In the selection of a suitable reagent ion (gas) for electron transfer reactions using CI-MS ionization, prior knowledge of IE of the neutral molecule is needed. Electron transfer from commonly used ionizing ions in CI-MS, such as NO<sup>+</sup> and  $O_2^+$  will be exothermic when an analytic molecule possesses less IE value than that of the corresponding reagent ion. NO<sup>+</sup> and  $O_2^+$  ions are in particular of great advantage in CI-MS in separating isobars and isomers [[45–](#page-9-4)[47\]](#page-9-5).

We compute IEs of various volatile compounds in gas-phase using  $B<sub>3</sub>LYP/6-31+G(d, p)$  $B<sub>3</sub>LYP/6-31+G(d, p)$  $B<sub>3</sub>LYP/6-31+G(d, p)$  theory from Eq. (3). Both VIE and AIE are reported in column 4 of [Table](#page-2-1) [2](#page-2-1). The reported values show that the VIEs are more than the AIEs of the molecules. The available experimental value

<span id="page-5-2"></span>of IE in the case of the indole (7.76 eV) molecule coincides better with VIE (7.66 eV) rather than AIE (7.51 eV). Octanal has the highest IE value, while 1-methylindole having the lowest IE value among other molecules. The predicted IE trend shows that NO<sup>+</sup> can be used as a reagent ion for electron transfer except for the molecules, such as 2-methylisoborneol, octanal, 1-octene-3-one, and 1-octene-3-ol that possess higher IEs than NO molecule. VOCs namely, 2-methylisoborneol and 1-octene-3-one could lead to adduct formation as their IEs are comparable to NO. However, further experimental verification is needed to support this argument. Similarly,  $O_2^+$  can ionize all the analyte molecules via dissociative charge transfer due to the high IE of  $O<sub>2</sub>$ molecule and is preferred as an ionizing agent in gas-phase reactions where ionization with  $\mathrm{NO^{+}}$  is not possible.

One way to calculate the IE of a molecular system is by using Eq. ([3](#page-2-2)). Another way to calculate IE is within the 'frozen molecular orbital' approximation given by the orbital energy as per the Koopmans theorem [[29\]](#page-8-24). In Koopmans approximation, originally applied in HF theory, if the orbitals of the system are unaffected by the loss of an electron then the vertical IE of an electron is given by the negative of the HOMO energy ( $I_i \approx -\epsilon_{\text{HOMO}}$ ). However, implementing Kohn–Sham orbitals  $(I_i \approx -\varepsilon_{\text{highest, KS}})$  for the higher level of accuracy has been a subject of

<span id="page-6-3"></span>![](_page_6_Figure_2.jpeg)

<span id="page-6-0"></span>**Fig. 3.** B<sub>3</sub>LYP/6-31+G(d, p) optimized structures of [3\(a\)](#page-6-3) neutral, [3\(b\)](#page-6-1) HO-site protonated with PA 776.30 kj/mol, [3\(c\)](#page-6-1) CH<sub>3</sub>-O-site protonated, with PA 801.24 kj/mol, Guaiacol molecule.

<span id="page-6-4"></span>![](_page_6_Figure_4.jpeg)

<span id="page-6-2"></span>Fig. 4. Fully optimized structures with B<sub>3</sub>LYP/6-31+G(d, p) theory of [4\(a\)](#page-6-4) neutral, and [4\(b\)](#page-6-5) protonated, having PA 840.44 kj/mol, cis-1,5-octadien-3-ol molecule to the oxygen site.

<span id="page-6-1"></span>considerable analysis and discussion agreed by many authors [[48](#page-9-6)[,49](#page-9-7)] and concern for others [\[50](#page-9-8),[51\]](#page-9-9).

In practice, it has been found that HF and typical Kohn–Sham procedures using hybrid functional produce valence orbital energies having magnitudes that tend to be larger and smaller respectively than the experimental IEs of the electrons as

$$
|\varepsilon_{i, \text{KS}}| < \text{IE} < |\varepsilon_{i, \text{ HF}}| \tag{4}
$$

It is worth mentioning that our computed IE<sub>i</sub> (=  $-\epsilon_{\text{HOMO}}$ ) as listed in [Table](#page-4-0) [4](#page-4-0) were lower by  $\approx$  1–2 eV than those obtained from Eq. [\(3\)](#page-2-2) listed in [Table](#page-2-1) [2](#page-2-1). However, the reported theoretical results of  $|\varepsilon_{i,KS}|$ deviate more than  $|\epsilon_{i, \text{ HF}}|$  IEs, usually fall below 2–3 eV with BP86, B3PW91, and others [[52\]](#page-9-10). We have reported results using  $B_3LYP \approx 1-$ 2 eV deviation when compared with IE from [Table](#page-2-1) [2](#page-2-1). Interestingly, IE - IE, difference is fairly uniform for all the valence orbitals in a molecule, suggesting that the error is somewhat systematic around 20% in the calculations.

# *3.3. Global reactivity parameters*

<span id="page-6-5"></span>PAs of the compounds discussed above cannot be utterly demonstrated by the local protonated site and carbonyl site only. Many protonation reactions in chemical ionization conditions may be under kinetic control, and the kinetically favored site of protonation might differ from the thermodynamically favored sites [\[44](#page-9-3)]. Therefore, PA cannot be fully interpreted by considering only the protonation of local sites, moreover, a contribution from overall molecular reactivity would be invaluable. The parameters of interest which determine the global reactivity of the molecule include electrophilic nature  $(\omega)$ , hardness  $(\eta)$ , and softness  $(\sigma)$  can be obtained from the frontier molecular

orbital energy gap ( $\epsilon_{\rm HOMO-LUMO}$ ) of the targeted molecules. A lower  $\epsilon_{\text{HOMO-LUMO}}$  gap is crucial for eventual charge transfer within the molecule, however, a higher gap makes it difficult to add an electron to high-lying LUMO; to remove an electron from low-lying HOMO and therefore difficult to form the activated complex in any potential reaction.  $\epsilon_{\text{HOMO-LUMO}}$  energy diagram for the indole molecule is shown in the supplementary material. Our reported energy gap (5.17 eV) using  $B_3LYP/6-31+G(d, p)$  perfectly allies with the NIST database value (5.17 eV) available for indole molecule. The chemical reactivity parameters are the response functions of the chemical system to the perturbation in its number of electrons (N), and external potential  $(v(\vec{r}))$ . For example, electronic chemical potential  $(\mu)$  is represented as the first derivative of energy w.r.t. the number of electrons (N). The chemical potential ( $\mu$ ) of a molecule is a measure of the electronegativity  $\chi$  of the molecules ( $\mu = -\chi$ ). Mathematically, global reactivity parameters are obtained as a function of ionization potential (I) and electron affinity (A).

$$
\mu = \left(\frac{\partial E}{\partial N}\right)_{\nu(\vec{r})} \tag{5}
$$

$$
\eta = \left(\frac{\partial^2 E}{\partial N^2}\right)_{\nu(\vec{r})} = \left(\frac{\partial \mu}{\partial N}\right)_{\nu(\vec{r})}
$$
(6)

Further,  $\mu$  and  $\eta$  can be expressed in terms of ionization potential and electron affinity as

$$
\mu = -\frac{1}{2} (I + A)
$$
 (7)

$$
\eta = \frac{1}{2} \left( I - A \right) \tag{8}
$$

Eqs. ([7](#page-7-0)) and [\(8\)](#page-7-1) are the energies of the frontier molecular orbitals (I  $\approx$  $-\epsilon_{\text{HOMO}}$  and A  $\approx -\epsilon_{\text{LUMO}}$ ) according to the Koopmans theorem [\[29](#page-8-24)].

$$
\mu = \frac{1}{2} \left( \varepsilon_{\text{LUMO}} + \varepsilon_{\text{HOMO}} \right) \tag{9}
$$

$$
\eta = \frac{1}{2} \left( \varepsilon_{\text{LUMO}} - \varepsilon_{\text{HOMO}} \right) \tag{10}
$$

By following Koopmans approximation for closed-shell molecules, important chemical reactivity parameters can be obtained, namely, softness ( $\sigma$ ) and electrophilic nature ( $\omega$ ) of the molecule as below:

$$
\sigma = \frac{1}{2\eta} \tag{11}
$$

and electrophilic index  $(\omega)$  of the molecule

$$
\omega = \mu^2 \sigma \tag{12}
$$

These parameters viz. chemical hardness  $(\eta)$ , softness  $(\sigma)$ , chemical potential  $(\mu)$ , and electrophilic index  $(\omega)$  of the molecules as obtained from frontier molecular orbitals are listed in [Table](#page-4-0) [4.](#page-4-0) The hardness  $(\eta)$ of a molecule represents its ground state stability and resistance to the system to exchange electronic charges with the environment. Hardness constitutes a valuable conception in understanding the behavior of chemical systems. Hard molecules have a large  $\epsilon_{\rm HOMO-LUMO}$  gap and possess high kinetic stability. While soft molecules, reciprocally, have a smaller  $\varepsilon$ <sub>HOMO</sub>-LUMO gap and turned into low-stability compounds. Therefore, soft molecules can be easily polarized as compared to hard molecules. 2-methylisoborneol is highly stable and thus least reactive, with a high  $\eta$  (3.6177) value and a low  $\sigma$  value (0.1382) among other molecules. On the contrary, 2-aminoacetophenone with low  $\eta$ and corresponding a high  $\sigma$  value is highly reactive, having a low  $\epsilon_{\text{HOMO–LUMO}}$  gap of 4.18 eV as compared to other molecules.

Chemical potential  $(\mu)$  measures the tendency of an electron to escape from the equilibrium system. It is also associated with the electronegativity of a molecule. The larger the negative  $\mu$  value, the higher will be the electronegativity of a molecule, and difficult for a system to lose an electron rather than easier to gain one. 4-ethylguaiacol ( $\mu$  = −2*.*9469) is the least stable and highly reactive among the compounds.

The electrophilic index  $\omega$  of a molecule determines its molecular stability on receiving electron charge from the external environment. A high value of  $\omega$  means a good electrophile while a lower one means a good nucleophile. Eq.  $(12)$  $(12)$  refers to both the tendency to acquire more electronic charge  $\mu^2$  (square of the electronegativity) and the resistance of the system to exchange charge  $(\eta)$ . In short, a good electrophile must have a high value of  $\mu^2$  and a low value of  $(\eta)$ . From our reported data, we observe that pentabromophenol ( $\omega = 4.6285$ ), 1-octen-3-one ( $\omega$  = 3.9647) and cis-1,5-octadien-3-one ( $\omega$  = 3.9314) are among the strong electrophiles. However, 4-ethylguaiacol is a good nucleophile. The computed global reactivity parameters are in eV, as indicated in [Table](#page-4-0) [4](#page-4-0).

#### **4. Concluding remarks**

DFT calculations using  $B_3LYP/6-31+G(d, p)$  combination is used to compute PAs, IEs, and associated chemical properties, such as electrophilic nature ( $\omega$ ), chemical hardness ( $\eta$ ), softness ( $\sigma$ ), chemical potential ( $\mu$ ), and electronegativity ( $\chi$ ) of the VOCs linked to the taints and off-flavors in wine. A noteworthy quantitative approximation of the chemical properties can be obtained using a suitable combination of exchange and correlation functional. A benchmark analysis is carried out with popular DFT functional, such as  $B_3LYP$ , M06-2X, and ab initio correlation method MP2 at higher basis set combinations. MP2 provides higher PAs and IEs than the experimental results, while M06- 2X gives lower PAs and higher IEs, respectively.  $B_3LYP/6-31+G(d, p)$ appears par to be optimal in terms of computational cost and accuracy. Hybrid DFT functional  $B_3LYP$  with 6-31+G(d, p) basis set incorporating polarization and diffusion is applied for the evaluation of PA and IE values of the volatile compounds.

<span id="page-7-1"></span><span id="page-7-0"></span>For PAs, both neutral and protonated structures are optimized to get the ground-state energies. The evaluated PA values are found to increase with the increasing carbon atom. The molecules comprising oxygen and nitrogen atoms are being preferred sites for proton attachment and found to have higher PAs, while chlorine and bromine do not show protonated complexes. Based on the PA values of VOCs, it is noted that  $H_3O^+$  ions can effectively ionize lower PA molecules while NH<sup>+</sup> 4 is suitable for higher PA molecules, for example, N-containing. Net APT charges and total energy of pre- and post-protonated species have also been computed to get a better understanding of the charge transfer process. A decrease in the net charge to the protonated site confirms that charge transfer from the ligand to the added proton has taken place.

<span id="page-7-2"></span>The computed VIEs seem to have a close rationale with experimental results, as available, e.g. indole molecule. The predicted VIE values are higher than that of AIE values. IE values show that NO<sup>+</sup> can be used to ionize analyte molecules with some exceptions where molecules possess higher IEs than NO molecule, while  $O_2^+$  can ionize all the analyte molecules as predicted based on IE values. Reactivity parameters namely chemical hardness  $(\eta)$ , softness  $(\sigma)$ , chemical potential ( $\mu$ ), and electrophilic index ( $\omega$ ) of the molecules are obtained from frontier molecular orbitals. Hard molecules are expected to show low reactivity, while soft molecules can be easily polarized. Global reactivity parameters further strengthen the knowledge of the overall chemical reactivity and electrophilic nature of a reactant molecule in a reaction, useful for rationalizing trends without having to perform time-consuming calculations.

These reactivity parameters along with PA and IE data are the major ingredients in understanding the reaction kinetics of VOCs with reagent ions in CI-MS quantification. The computed molecular properties will be helpful in the identification and quantification of trace gases using CI-MS techniques. In particular, PA and IE values will serve as the principal quantities in the selection of appropriate reagent gas (ions) in CI-MS using PTR-MS/SIFT-MS analytic techniques.

#### **CRediT authorship contribution statement**

**Manjeet Bhatia:** Conceptualization, Methodology, Software, Data curation, Writing – review & editing.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### **Data availability**

Data will be made available on request.

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#### **Appendix A. Supplementary data**

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.comptc.2023.114101>.

**Supporting Material:** Data on the total energy, charges, and bond lengths of VOCs under study are collected as supplementary material.

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